

PHBA Anti-Slaughter Code of Ethics

While the PHBA is strongly opposed to commercial horse slaughter in general, for obvious practical reasons the PHBA must focus on the slaughter of the breed of horses that the PHBA promotes, the Thoroughbred. Therefore, the PHBA proposes to add the following breed specific Anti-Slaughter Code of Ethics to its Bylaws:

GENERAL POLICY

A condition of PHBA membership and/or the receipt of association privileges or benefits is that every applicant therefore sign a pledge not to knowingly cause a Thoroughbred horse to be slaughtered for commercial purposes. If any such person thereafter knowingly, or without conducting proper due diligence, violates the following Rule, directly or indirectly, such person shall be deemed to have violated his/her/its pledge and may accordingly be sanctioned by the PHBA.

RULE

No person, corporation, association, or other entity (hereinafter collectively "person") who has pledged to the PHBA not to knowingly cause a Thoroughbred horse to be slaughtered for commercial purposes shall import, export, sell, offer to sell or barter, transfer, purchase, possess, transport, deliver, or receive any Thoroughbred with the intent, for commercial purposes, of slaughtering or having another person so slaughter said horse where such person knows, or through the exercise of reasonable diligence should have known, that such other person intended to so slaughter that horse.

SANCTIONS

Violation(s) of the PHBA Anti-Slaughter Code of Ethics shall be sanctioned as follows:

1. First Violation*:
 - a. Imposition of a one thousand dollar {\$1,000.00) fine payable within 30 days to the PHBA Thoroughbred Aftercare Fund to be spent solely for aftercare;
 - b. Prohibition from registering any horse as a Pennsylvania-bred or from renewing PHBA membership until the fine is paid in full; and,
 - c. Issuance of a warning letter outlining this code's sanctions for second and third offenses and explaining the resources available to assist with rehoming or euthanizing horses.
2. Second Violation*:
 - d. Imposition of a five thousand dollar (\$5,000.00) fine payable within 30 days to the PHBA Thoroughbred Aftercare Fund to be spent solely for aftercare;
 - e. Prohibition from registering any horse as a Pennsylvania-bred or from renewing PHBA membership until the fine is paid in full; and,
 - f. Issuance of a warning letter outlining this code's sanctions for a third offense and explaining the resources available to assist with rehoming or euthanizing horses.
3. Third Violation*:
 - g. Imposition of a five thousand dollar (\$5,000.00) fine payable within 30 days to the PHBA Thoroughbred Aftercare Fund to be spent solely for aftercare;
 - h. Revocation of PHBA membership and/or registration privileges for not less than five (5) years after which the violator may be readmitted to membership or registration

privileges upon approval by the PHBA Board. All fines must be paid in full prior to application for readmission; and,

- i. Dispatch of all case information and the PHBA's decision to the Jockey Club (JC) for its consideration regarding additional sanctions.

* Single incidents involving multiple horses may be considered as separate violations for purposes of determining sanctions as the Board may deem appropriate.

Any person sanctioned shall be barred from PHBA privileges/registration of horses until full payment of the fine is received.

PROCEDURE

Charges against any person must be brought in writing and be delivered to the Secretary of the Board. The Secretary shall then promptly forward the same to the Board and the accused. Ample opportunity shall be given to the accused for a hearing by the Board. A vote of two-thirds of the Board members present for the hearing shall be required for the imposition of sanctions.

The PHBA highly encourages all persons to obtain appropriate written documentation (see sample document below) upon the sale or transfer of any Thoroughbred horse. PHBA members shall provide the PHBA with such documentation upon request.

Suggested appropriate inclusions in horse transfer documentation may be as follows:

- You have access to a farm where this horse can be properly cared for
- You will not sell this horse for slaughter
- If you are unable to care for this horse, you will return it to me
- If you sell or transfer this horse, you will have the new owner sign a similar form and you will retain it for your records

The new owner should be required to initial each bullet point, sign the form and date it. The transferor should retain this form as it may be requested by the PHBA as proof of compliance with this anti-slaughter code of ethics.

By checking the box to the left, I accept and agree to the terms of the Code of Ethics

Name: _____

Signature: _____

Date: _____